

Paleoceanography and Paleoclimatology

RESEARCH ARTICLE

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Special Section:

The Miocene: The Future of the Past

Key Points:

- Isotope, sedimentology, and grain size data record low- and high-frequency Miocene-Pleistocene environmental change on the Tibetan Plateau
- All data record low-frequency tectonic and climate changes, whereas O isotope data document high-frequency changes at Milankovitch periods
- Anticorrelation between the isotope record and Northern Hemisphere insolation suggests insolation exerts a primary control on monsoon strength

Supporting Information:

Supporting Information S1

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Orbital Forcing of Late Miocene–Early Pleistocene Environmental Change in the Zhada Basin, SW Tibetan Plateau

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Abstract Mechanisms controlling the long- and short-term variability of the Indian Summer Monsoon (ISM) and high-elevation environmental change have largely been examined using low-elevation or marine records with less emphasis on high-elevation non-marine records. We address this using a high-resolution, long-term record from upper Miocene-lower Pleistocene (~9.0-2.2 Ma) fluvio-lacustrine strata in the Zhada Basin, southwestern Tibetan Plateau. Long-term changes include the onset of lacustrine deposition, a decrease in mean grain size, and an increase in $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values at ~6.0 Ma in response to basin closure following regional extension. This was followed by a return to palustrine/fluvial deposition, an increase in mean grain size, and a decrease in $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values at ~3.5 Ma in response to tectonically driven long-term ISM weakening. Spectral analysis reveals that high-frequency variations in the $\delta^{18}O_{carb}$ record are dominated by 100 and ~20 kyr cycles from ~6.0–2.2 Ma. Wavelet and spectral analysis of the most densely sampled interval (4.23-3.55 Ma), tuned to the record of daily insolation (21 June at 35°N) confirms and highlights 100 and 20 kyr cycles. The tuned Pliocene $\delta^{18}O_{carb}$ record is coherent with the record of Northern Hemisphere insolation at precession periods but not at obliquity or eccentricity periods. Additionally, the tuned $\delta^{18}O_{carb}$ record is anticorrelated to the insolation record, indicating that stronger Northern Hemisphere insolation correlates with a stronger ISM. These results suggest that variations in daily insolation drove late Miocene-early Pleistocene high-frequency ISM variability and environmental changes in the high-elevation southwestern Tibetan Plateau.

Plain Language Summary The Indian Summer Monsoon is a planetary-scale climate system. It currently affects >1.8 billion people, and changes in the monsoon likely led to major changes in plant and animal populations ~7 million years ago. Whether the Himalayan mountains, Tibetan Plateau, or the Southern Hemisphere are the major players in controlling the strength of the monsoon remains unclear. We address this question using the first long-term, high-resolution isotopic and sedimentological record from the Zhada Basin on the Tibetan Plateau. Changes in oxygen isotopes in carbonate rocks track the increase or decrease in precipitation amount. The frequency of the changes in the isotopic record match the frequency of changes in Earth's orbit. Changes in the isotope record also show that when the Tibetan Plateau received more sunlight, which is determined by changes in Earth's orbit, the monsoon was more intense. These observations point to the conclusion that Earth's orbit changes the solar heating received by the plateau surface, which in turn plays a major role in the amount of precipitation on the Tibetan Plateau.

1. Introduction

The Asian Monsoon System (AMS), and its subsystems the East Asian Monsoon (EAM) and Indian Summer Monsoon (ISM), affects a third of the world's population and is a fundamental component of Earth's modern climate. However, there is disagreement on the timing of initiation or secular changes in strength of the AMS and its driving mechanisms, particularly prior to Northern Hemisphere Glaciation. In this contribution, we address three outstanding questions regarding the AMS. First, what drove low- and high-frequency ISM variation prior to the onset and strengthening of Northern Hemisphere Glaciation in the middle Pliocene (Clift & Plumb, 2008; Gupta & Thomas, 2003; Mudelsee & Raymo, 2005). The second question is whether

strengthening of the ISM was directly driven by changes in Northern Hemisphere insolation or was an indirect response to Southern Hemisphere warming (Caley et al., 2011; Clemens & Prell, 2003; Gebregiorgis et al., 2018). Finally, it remains poorly understood whether the ISM and EAM respond to the same insolation forcing or if these systems evolved asynchronously. To address these questions, we use a high-resolution, long-term, non-marine record from Miocene–Pleistocene fluvio-lacustrine strata from the southern Tibetan Plateau.

Models of long-term (low-frequency) ISM variations are inconsistent in their attribution to Tibetan Plateau or Himalaya uplift. For example, the onset of monsoon circulation was proposed to coincide with late Miocene-Pliocene uplift of the Tibetan Plateau (Kroon et al., 1991; Prell & Kutzbach, 1992; Quade et al., 1995). However, several lines of evidence, including eolian deposits exposed NE of the Tibetan Plateau, suggest the initiation of a monsoon system occurred prior to 8 Ma and possibly by 24 Ma (e.g., Clift et al., 2002; Dettman et al., 2001; Garzione et al., 2005; Guo et al., 2002). Further deepening the divide between Tibetan Plateau uplift and monsoon initiation, many paleoelevation studies now point to high elevations in the southern Tibetan Plateau throughout the Cenozoic (e.g., DeCelles et al., 2007; Ding et al., 2014; Hoke et al., 2014; Su et al., 2019). Moreover, general circulation models indicate that an elevated Himalayan range alone is sufficient to block dry Westerly circulation and allow penetration of moisture on to the Indian and Asian land masses, obviating the need for a similarly elevated hinterland to account for changes in monsoon circulation (Boos & Kuang, 2010). However, models also suggest that the ISM response to mountain building is complex; surface uplift of the southern Tibetan Plateau and Zagros Mountains appears to strengthen the ISM while uplift of the central and northern Tibetan Plateau and the Tianshan Altai mountains weakens it (An et al., 2001; Tang et al., 2013; Zhang et al., 2015). The discrepancies in these studies call for greater resolution of climate change on the Tibetan Plateau and on the link between climate change and orogenic uplift.

In addition to the secular (i.e., low-frequency) changes noted above, multiple studies suggest that high-frequency (orbital or sub-orbital) variations in the strength of the AMS are correlated with changes in North Atlantic climate, orbitally driven changes in insolation, and sea surface temperatures (SSTs) in the Indian Ocean and Arabian Sea. For example, Feng and Hu (2008) argue that the Atlantic Multidecadal Oscillation (AMO) affects the strength of the ISM (quantified in their study as All India Monsoon Rainfall) by changing temperature on the Tibetan Plateau. Warm AMO phases correlate with a warmer Tibetan Plateau and therefore a stronger ISM due to the greater meridional temperature gradient between the Tibetan Plateau and the tropical Indian Ocean (Feng & Hu, 2008). Alternatively, recognizing that variation in the amount of solar insolation due to the configuration of Earth's orbit plays a role in the evolution of climate systems (Hays et al., 1976; Huybers, 2006; Milankovitch, 1941), Zhu et al. (2009) argue that high insolation directly enhances the ISM by radiative heating of the plateau, leading to wetter conditions on the Tibetan Plateau. In contrast, during periods with low insolation, the Westerlies strengthen and shift southward and modulate the impact of north Atlantic climate conditions on the Tibetan Plateau (Zhu et al., 2009). Westerly airmasses are significantly drier than ISM airmasses, and their dominance over the western Tibetan Plateau accounts for the observed westward increase in aridity on the Tibetan Plateau (Bookhagen & Burbank, 2010; Li & Garzione, 2017). In the late Holocene, decreasing lake levels on the Tibetan Plateau have been attributed to decreasing summer insolation and weakening of the ISM (Hudson & Quade, 2013; Morrill et al., 2006). Finally, the ISM also responds to changes in tropical Indian Ocean temperatures, with cooler temperatures correlating with weaker ISM and stronger EAM (Conroy & Overpeck, 2011; Wang et al., 2005). Mohtadi et al. (2014) provide a mechanism for this observation wherein southward penetration of the Westerlies and attendant southward displacement of the Intertropical Convergence Zone (ITCZ) where dry Westerlies and moisture-bearing ISM airmasses meet in response to cooling in the North Atlantic results in weaker ISM precipitation in the northern Indian Ocean. However, they attribute transmission of the signal to reorganization of the Hadley cell in response to slowdown in thermohaline circulation and conclude that this is the dominant control on the strength of the ISM regardless of the background glacial state.

Modern observations indicate that direct heating of the Tibetan Plateau results in greater ISM-related precipitation on an annual basis. The increase in rainfall amount is correlated to interannual moist static energy (Rajagopalan & Molnar, 2013) and is primarily due to an increase in precipitation during early and late monsoon season. In addition, until disrupted by global warming, increased snowfall on the Tibetan Plateau correlated with a later and weaker ISM (Liu et al., 2004; Senan et al., 2016; Vernekar et al., 1995; Zhang et al., 2019).

Although the EAM and the ISM are dynamically connected, they respond to different forcing mechanisms (Wang et al., 2001, 2017). For example, B. Wang et al. (2003) found that variations associated with El Niño-Southern Oscillation (ENSO) lead to greater changes in low-level flow over the South China Sea than over the Arabian Sea, suggesting that the EAM is more sensitive to variations associated with ENSO than the ISM. The history and forcing mechanisms controlling the EAM are relatively well-established from records on the Chinese Loess Plateau (CLP). In the EAM domain, orbital cyclicity related to eccentricity (~100 and 400 kyr cycles), obliquity (~40 kyr cycles), and precession (19 and 23 kyr cycles) are noted in paleosol-loess deposits on the CLP (e.g., An et al., 2001; Clemens et al., 2008; Guo et al., 2002; Heitmann et al., 2017; Li et al., 2017; Nie et al., 2014; Sun & An, 2005), in cave stalagmites in southern China (e.g., Cai et al., 2015; Cheng et al., 2016; Yuan et al., 2004), as well as in marine records (e.g., Clemens et al., 2008; Lisiecki & Raymo, 2005).

In addition to the role orbital forcing plays in monsoon circulation, changes in global ice-volume are also thought to influence the strength of the AMS during the late Miocene (Nie et al., 2017). For example, an increase in Southern Hemisphere ice volume has been linked to stronger AMS (including both ISM and EAM systems) circulation in both Cenozoic proxy records and climate models (An et al., 2011; Ao et al., 2016; Heitmann et al., 2017). In contrast, an increase in Northern Hemisphere ice volume is thought to weaken the AMS in response to a weakened land-sea pressure gradient (Heitmann et al., 2017, and references therein), a cooler North Atlantic, and possibly more snow cover on the Tibetan Plateau and in central Asia (see above).

Lacustrine sediments in the southern Tibetan Plateau provide a sensitive record of ISM strengthening and weakening (Fontes et al., 1996; Morrill et al., 2006; Wang et al., 2002; Zhu et al., 2009). The primary controls on the stable isotopic composition of lacustrine carbonate $(\delta^{18}O_{carb} \text{ and } \delta^{13}C_{carb})$ records from Tibetan Plateau lakes are the isotopic composition of precipitation (Gao et al., 2014), changes in the precipitation/evaporation (P/E, or inflow/evaporation [I/E] ratio, Cui et al., 2017; Yuan et al., 2011), and changes in basin hydrology (Saylor et al., 2010a; Shi et al., 2014). The primary mechanism driving precipitation δ^{18} O and δ D values (δ^{18} O_p and δ D_p, respectively) toward extremely negative values is Rayleigh distillation during orographic lifting over the southern Himalayan front (Garzione et al., 2000; Quade et al., 2011; Rowley, 2007). General circulation models indicate that fluctuations in Asian monsoon intensity play a secondary role in controlling $\delta^{18}O_p$ values, with increases in precipitation amount correlating with more negative $\delta^{18}O_p$ and δD_p values (Vuille et al., 2005). A competing mechanism controlling the stable isotopic composition of lake water is evaporative enrichment of heavy isotopes in standing lake water, particularly in terminal lake basins (Li & Ku, 1997; Talbot, 1990). Changes in the isotopic composition of lake water are archived in lacustrine organic material (Günther et al., 2015) and carbonate (Fontes et al., 1996). Hence, an increase (decrease) in the precipitation amount or the P/E ratio leads to an increase (decrease) in lake level and lower (higher) $\delta^{18}O_{carb}$ values of lake water. Similarly, long residence times in a closed-lake setting would result in elevated lake water $\delta^{18}O_{carb}$ values, while short residence times in through-flowing fluvial settings would result in low $\delta^{18}O_{carb}$ values (Li & Ku, 1997). Hence, the isotopic composition of authigenic carbonates in Tibetan Plateau lakes is controlled primarily by a combination of changes in basin hydrology and moisture delivery by the ISM.

In Pleistocene–Holocene records from the southern Tibetan Plateau, ISM strengthening is associated with lake expansion and a rise in base level whereas weakening of the monsoon is correlated with base-level fall and lake contraction (Gasse et al., 1996; Hudson & Quade, 2013). Late Miocene–Pliocene climate and environmental change have been documented primarily at low elevations adjacent to the Tibetan Plateau (Molnar, 2005, and references therein). Few long-term climate records have been acquired from the plateau itself. As a result, conclusions about environmental change on the plateau are largely based on indirect evidence. We combine the stable isotope, grain size, and depositional environment record from the Zhada Basin, which is an exceptionally high-resolution and well-dated lacustrine basin on the southwestern Tibetan Plateau, and provides a unique multi-proxy record of past changes in ISM evolution on orbital time scales.





Figure 1. Digital elevation model of Tibetan Plateau showing location of Zhada Basin relative to major climate systems. Modern (low insolation) and early Holocene (high insolation) ISM extent from Araguas-Araguas et al. (1998),Tian et al. (2001), and Winkler & Wang (1993). E.A.M. = East Asian Monsoon; I.S.M. = Indian Summer Monsoon. Modified from Saylor et al. (2016).

1.1. Geologic Setting and Climate

The Zhada Basin is a high-elevation (3.5-4.5 km) hinterland basin just north of the Himalayan ridge crest in the western part of the orogen (~32°N, 82°E; Figure 1) (Saylor et al., 2009). The Zhada Formation consists of >800 m of undeformed fluvial, lacustrine, eolian, and alluvial fan deposits (Kempf et al., 2009; Saylor et al., 2010a). It is capped by a geomorphic surface that extends across the basin and marks the maximum extent of sediment aggradation prior to integration of the modern Sutlej River drainage network (Saylor et al., 2010a). Post-depositional incision by the Sutlej River exposed the Zhada Formation, providing access to an unparalleled paleoclimatic record. Magnetostratigraphy and biostratigraphy constrain the age of the Zhada Formation to ~9.2-<1 Ma (Saylor et al., 2009). The Zhada Basin has been at high elevations since at least the late Miocene, indicating that variations in $\delta^{18}O_{carb}$ are attributable to one of the mechanisms outlined above rather than to elevation gain (Huntington et al., 2015; Saylor et al., 2009).

The climate of the southwestern Tibetan Plateau is dominated by the ISM (Araguas-Araguas et al., 1998; Conroy & Overpeck, 2011; Li & Garzione, 2017; Tian et al., 2001; Yu et al., 2007) (Figure 1). The Zhada Basin's proximity to the northernmost extent of modern (i.e., low insolation) ISM precipitation makes it an ideal location to document long-term changes in ISM strength (Yao et al., 2013), as northward or southward migration of the ITCZ is expected to be expressed as lake expansion or contraction and attendant changes in lacustrine carbonate stable isotope composition at this location.

2. Methods

2.1. Sample Preparation

We undertook stable isotope and grain size analysis on sediment samples collected from the South Zhada stratigraphic section (Figure 2) of Saylor et al. (2010a). Prior to stable isotope analysis, sediment samples were crushed with a mortar and pestle and treated with 30% H_2O_2 to remove organic material. Stable isotopes of oxygen and carbon from 747 bulk carbonate samples (expressed as $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ in units ‰ and referenced to Vienna Pee Dee Belemnite [VPDB]; Table S1 of the supplementary information)





Figure 2. Regional map of the Zhada Basin showing the location of the stratigraphic section from which data are reported (SZ). Cgm. = conglomerate; SZ = South Zhada; Undiff. = undifferentiated; Modified from Saylor et al. (2016).

were measured; 573 samples were analyzed using a Finnigan MAT 252 gas-source, isotope ratio mass spectrometer at the University of Texas at Austin, and 174 samples were measured using a Thermo Scientific Gasbench-Delta V at the University of Texas Arlington. Sample ages were determined by linear interpolation between paleo-magnetic ties of the preferred magnetostratigraphic correlation of Saylor et al. (2009). The magnetostragraphic correlation of Saylor et al. (2009) is based on data from 91 sites (Figure S1), as well as independent temporal anchors based on biostratigraphy. See Saylor et al. (2009) for discussion of alternative possible age models.

In order to test the potential effect of contamination by detrital carbonate or large shell fragments and to assess whether there was diagenetic resetting of the authigenic $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values, we performed the following analyses on subsets of the total 747 samples. To assess the impact of detrital carbonate, we selected 20 samples from a variety of depositional environments, sieved them to 45 μ m, and reanalyzed the stable isotope composition of the fine-grained fraction. We also applied petrographic analysis to 56 samples from all depositional environments to evaluate the effect of diagenesis on the isotopic record. Detailed descriptions of each lithofacies association identified with petrography can be found in Table S7.

For grain size analysis, 413 bulk samples were disaggregated by soaking in a solution of deionized water and sodium hexametaphosphate for at least 3 days. Samples were subsequently defloculated in an ultrasonic bath for 4 hr. Samples were removed from the ultrasonic bath and analyzed in a CILAS 1190 particle-size analyzer at the University of Houston. The grain size data for each sample are reported in Table S2. A detailed comparison of lithostratigraphy, the stable isotope record, and mean grain size data are reported in Figure S2.

2.2. Sampling Frequency

Following the work of Saylor et al. (2016), the Zhada Basin stratigraphy is separated into three intervals (A, B, and C) based on differences in mean $\delta^{18}O_{carb}$ values (Figure 3). The upper portion of interval B (4.2–3.5 Ma; 370–510 m) was isolated for further analysis based on differences in sampling frequency (Figure 4). Samples for stable isotope analysis were analyzed at ~1.8 m intervals from 40 to 220 m (interval A; 9.0–6.0 Ma), at ~ 0.8 m intervals from 225 to 510 m (interval B; 6.0–3.5 Ma), and at ~0.6 m intervals from 526 to 635 m (interval C; 3.5–2.3 Ma) of the Zhada Formation. This corresponds to a sampling frequency of 1 sample/29 kyr for interval A, 1 sample/7 kyr for interval B, and 1 sample/5.5 kyr for interval C. The sampling resolution of interval B varies from ~1.3 m intervals from 225 to 370 m (lower B; 6.0–4.3 Ma) to ~0.5 m intervals from 370 to 510 m (upper B; 4.2–3.5 Ma). This corresponds to a sampling frequency of 1 sample/14 kyr for lower B and 1 sample/2.8 kyr for upper B.

Samples for grain size analysis were analyzed at ~1.8 m intervals from 40 to 220 m (interval A; 9.0–6.0 Ma), at ~2.5 m intervals from 225 to 510 m (interval B; 6.0–3.5 Ma), and at ~2.0 m intervals from 526 to 635 m (interval C; 3.5–2.3 Ma) of the Zhada Formation. This corresponds to a sampling frequency of 1 sample/29 kyr for interval A, 1 sample/21 kyr for interval B, and 1 sample/20 kyr for interval C.

2.3. Spectral Analysis

Spectral analysis was performed to determine the dominant frequencies present in the Zhada Basin's $\delta^{18}O_{carb}$ record. Prior to spectral analysis, each interval (A, B, and C) was detrended by removing the mean and dividing by the standard deviation for that interval to allow comparison between each interval. We applied REDFIT spectral analysis (Schulz & Mudelsee, 2002) using PAST v3.0 software (Hammer et al., 2001) to conduct time series analysis directly on the unevenly spaced data from each interval (A, B, upper B, and















Figure 5. The Zhada Basin's $\delta^{18}O_{carb}$ record (detrended) versus age (Ma) for interval upper B (4.23–3.55 Ma) mimics the cyclicity related to changes in eccentricity (green) and precession (orange).



Figure 6. Power spectra plots of the $\delta^{18}O_{carb}$ record across intervals (A, B, and C). (a) Interval A (~9.0-6.15 Ma) lacks orbital cyclicity, (b) Interval B (6.2-3.5 Ma) is dominated by 118 and 85 kyr eccentricity cycles (chi-square 99%), and (c) Interval C (3.5-2.2 Ma) has a prominent 90 kyr cycle (chi square 99%). Red-noise boundaries are estimated as upper 95% (blue) and 99% (red) chi square limits of a fitted AR1 process. Values correspond to cycles per kyr.

boundaries were estimated as upper 95% and 99% chi square limits of a fitted AR1-process.

C). When applying REDFIT, we selected a Welch window. Red-noise

2.4. Chronology

Age constraints of Saylor et al. (2009) provided the framework to develop an astronomically tuned age model for interval upper B (4.23-3.55 Ma). The new chronology was generated by correlating the Zhada Basin's $\delta^{18}O_{carb}$ time series to computed variations of daily insolation calculated on 21 June at 35°N (Laskar et al., 2004). We applied a Gaussian band-pass filter centered at 0.05 kyr (20 kyr period) with a 0.01 bandwidth to both the Zhada Basin's $\delta^{18}O_{carb}$ series and to the daily insolation curve. The resulting curves revealed similarity in terms of amplitude, allowing us to refine our time scale (Figure S6). We applied a minimal tuning strategy using 13 tie points to preserve original spectral characteristics and to avoid artificial changes in sedimentation rates (Muller & MacDonald, 2002) (Figure S7). Age tie-points and the calculated sedimentation rate can be found in Tables S5 and S6, respectively. Ages were modified by less than half of a precession cycle (average [mean] absolute difference: 5.5 kyr, standard deviation: 4.3 kyr, maximum difference: 13.2 kyr, minimum difference: 0.23 kyr). Tuning was conducted independent of, and prior to, spectral analysis of the tuned record: the process was not iterative.

2.5. Wavelet Analysis

Wavelet analysis was performed on the tuned $\delta^{18}O_{carb}$ record for interval upper B (4.23-3.55 Ma) (Figures 4 and 5), where our sampling resolution is high enough to resolve precession, obliquity, and eccentricity cycles. Prior to wavelet analysis, we utilized the linspace function in MATLAB to convert our unevenly spaced data to an evenly spaced timeseries. Wavelet analysis was performed using the PAST v3.0 software (Hammer et al., 2001) and is based on the methods laid out in Torrence and Compo (1998). The mother wavelet is Morlet, the lag-1 autocorrelation for the red-noise background is 0.5. The evenly spaced time series data for upper B is reported in Table S4.

2.6. Coherence and Cross-Correlation Analysis

In order to evaluate whether the Zhada Basin's $\delta^{18}O_{carb}$ record shares periodicities with insolation, we conducted coherence analysis between the oxygen isotope record from interval upper B and the record of daily insolation (calculated at 35°N, 21 June) using





Figure 7. Power spectra plots of both the untuned (a) and tuned (b) $\delta^{18}O_{carb}$ record across interval upper B (4.23–3.55 Ma). Red-noise boundaries are estimated as upper 95% (blue) and 99% (red) chi square limits of a fitted AR1 process. Values correspond to cycles per kyr.

software developed by P. Huybers (http://www.people.fas.harvard.edu/~phuybers/Mfiles/index.html) with a window of 4. To evaluate the phasing between the oxygen isotope record and insolation, we calculated the cross-correlation between the $\delta^{18}O_{carb}$ and insolation records using the MATLAB *xcorr* algorithm. For the coherence and cross-correlation analysis we used the evenly spaced tuned and untuned oxygen isotope record as well as a random time-series, and the insolation record was sampled at the same time-step (1 sample/2.79 kyr) as our record.

3. Results

3.1. Stable Isotope Record

Comparison of mean values from intervals A, B, and C using our more densely sampled data set confirms previously interpreted breaks in the Zhada Formation stratigraphy based on statistically significant changes in mean $\delta^{18}O_{carb}$, $\delta^{13}C_{carb}$, and grain size values (Figure 3). The $\delta^{18}O_{carb}$ values range from -3% to -23% across the Zhada Formation. There is a positive shift in mean $\delta^{18}O_{carb}$ values shift from -13.5% to -10.2% across the A-B transition at ~225 m (Student's *t* test *p* value < 3.7E-29). This is followed by a negative shift in mean $\delta^{18}O_{carb}$ values from -10.2% to -12.9% across the B-C transition at ~525 m (Student's *t* test *p* value < 8.3E-38). The $\delta^{13}C_{carb}$ values range from 4.5% to -7%. There is a positive shift in mean $\delta^{13}C_{carb}$ values from -1.2% to 0.9% at ~225 m (Student's *t* test *p* value < 1.5E-24). This is followed by a negative shift in mean $\delta^{13}C_{carb}$ values from 0.9% to -0.1% at ~525 m (Student's *t* test *p* value < 1.9E-14).

The mean grain size values range from 3.5 to 259 μ m across the Zhada Formation. There is a decrease in mean grain size values from 56.9 to 28.7 μ m across the A-B transition at ~225 m (Student's *t* test *p* value < 1.9E-5). This is followed by an increase in mean values from 28.7 to 85.3 μ m across the B-C transition at ~525 m (Student's *t* test *p* value < 4.9E-7).

3.2. Spectral Analysis of $\delta^{18}O_{carb}$ Record

REDFIT spectral analysis reveals a dominant frequency of ~100 kyr cycles from ~6.0–2.2 Ma (Figure 6). Interval A (Figure 6a; 8.1–6.1 Ma; 1 sample/21 kyr) lacks any frequencies consistent with orbital forcing. Interval B (Figure 6b; 6.0–3.5 Ma; 1 sample/7 kyr) includes 118 and 85 kyr period cycles above the 99% confidence limits and cycles with periods of 223, 54, 15, and 14 kyr above the 95% confidence limits. Interval C (Figure 6c; 3.5–2.2 Ma; 1 sample/5.5 kyr) is dominated by 90 and 33 kyr period cycles above the 99% confidence limits and 17 kyr period cycles above the 95% confidence limits.

REDFIT spectral analysis of both the untuned and tuned upper B (Figure 7; 4.23–3.55 Ma; 1 sample/2.8 kyr) reveals prominent ~110 kyr period cycles. The untuned record (Figure 7a) has 114 kyr period cycles above the 99% confidence limits and 53, 27, and 14 kyr period cycles at the 95% confidence limits. In comparison, the tuned record (Figure 7a) shows 113 and 19 kyr period cycles above the 99% confidence limits and 23 kyr period cycles above the 95% confidence limits.





Figure 8. Wavelet transform of the tuned $\delta^{18}O_{carb}$ record across interval upper B. Color scale indicates relative power, which is the normalized wavelet power spectrum (warmer colors indicates larger power). The black contour is the 95% confidence level.

3.3. Wavelet Analysis

Wavelet analysis of the early Pliocene (4.23-3.55 Ma) portion of the record shows the highest relative power at ~100 kyr periods between 4.23 and 3.75 Ma (Figure 8). It also shows punctuated intervals of relatively high power at ~20 kyr periods at ~4.1, 3.95, 3.8 and 3.7 Ma.

3.4. Coherence and Cross-Correlation Analysis

Analysis of the periodicities shared between the tuned upper B $\delta^{18}O_{carb}$ record and insolation (i.e., coherence analysis) reveals the strongest coherence at ~20 kyr periods (Figure 9a). Cross-spectrum analysis indicates that at those frequencies the $\delta^{18}O_{carb}$ record and insolation are anticorrelated at those periods (i.e., they are 180 degrees out of phase, Figure 9b). In comparison, the untuned and random time-series show no coherence at Milankovitch frequencies (Figures 9c and 9e). Cross-correlation analysis indicates that the evenly spaced, tuned $\delta^{18}O_{carb}$ and insolation records are anticorrelated (Figure 10). It also shows that the correlation is strongest at a lag of 0 kyr, followed by a lag of approximately ± 20 and



Figure 9. (a) Coherence analysis between the evenly spaced, tuned Zhada Basin $\delta^{18}O_{carb}$ record and daily insolation (21 June, at 35°N) from 4.2 to 3.5 Ma (upper B) confirms that these records share frequencies with ~20 kyr periods. (b) Cross-spectrum analysis between the evenly spaced, tuned Zhada Basin $\delta^{18}O_{carb}$ record and daily insolation from 4.2 to 3.5 Ma (upper B) indicates that at ~20 kyr (i.e., precession) periods shows that their phases are opposite, as predicted if Northern Hemisphere insolation directly drives variability in ISM strength. (c) Coherence and (d) cross-spectrum analysis between the evenly spaced, untuned $\delta^{18}O_{carb}$ record and insolation from 4.2 to 3.5 Ma. (e) Coherence and (f) cross-spectrum analysis between a random time series with the same length and spacing as the Zhada Basin records.





Figure 10. Cross-correlation between the evenly spaced, tuned Zhada Basin $\delta^{18}O_{carb}$ record and the record of daily insolation (21 June, at 35°N) from 4.2 to 3.5 Ma (upper B). Anticorrelation between these records confirms that the ISM strengthens as insolation increases. The absolute value of the correlation maximized at 0, ±20, and ±40 kyr offsets confirms that Northern Hemisphere, rather than Southern Hemisphere, insolation is the primary driver of ISM variability.

 ±40 kyr. The correlation is lowest at offsets of approximately ±10 and ±30 kyr.

4. Discussion

4.1. Basin Evolution

Changes in $\delta^{18}O_{carb}$, $\delta^{13}C_{carb}$, and the mean grain size record between intervals A, B, and C reflect major basin-wide changes in basin hydrology (Saylor et al., 2016), which are also evident in changes in depositional environments and sequence stratigraphic stacking patterns (Saylor et al., 2010b; Saylor et al., 2013). Low $\delta^{18}O_{carb}$ values from the fluvial facies in interval A (9.0–6.0 Ma) are consistent with unevaporated fluvial water on the southern Tibetan Plateau (Li & Garzione, 2017; Quade et al., 2011; Saylor et al., 2009). Very negative $\delta^{18}O$ values of river water result from Rayleigh distillation during orographic ascent (Garzione et al., 2000; Rowley et al., 2001) and are consistent with modern or greater-than-modern elevation of the late Miocene Zhada Basin (Huntington et al., 2015; Saylor et al., 2009). In addition, the $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values show

little covariance (Interval A: $r^2 = 0.40$; Figure 11), suggesting low water-residence times associated with a through-flow fluvial system (Li & Ku, 1997; Talbot, 1990). High-frequency, non-systematic migration of fluvial depositional environments may have obscured higher frequency climate signals in the record and could account for lack of periodic variability observed in the $\delta^{18}O_{carb}$ values during interval A (Figure 6a).

The increase in mean $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values, $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ correlation coefficients (Interval B: $r^2 = 0.57$; Figure 11), and the decrease in mean grain size values at ~225 m (6.0 Ma) reflect flooding of the basin and an increase in residence time (Bohacs et al., 2000; Li & Ku, 1997; Talbot, 1990), consistent with the development of a hydraulically closed or balance-filled paleo-Lake Zhada at that time (Saylor et al., 2010b; Saylor et al., 2016). Expansion and contraction of paleo-Lake Zhada are recorded by high-frequency variations in $\delta^{18}O_{carb}$ values and rapid progradation of lake margin lithofacies resulting in juxtaposition of lithofacies representing littoral depositional environments over profundal depositional environments (Saylor et al., 2010b). We interpret these changes as reflecting changes in lake volume associated with hydroclimatic changes driven by strengthening and weakening of the ISM (Saylor et al., 2010b). Previous isotopic analysis of modern surface waters in the area indicates that the δ^{18} O values of lacustrine waters are elevated due to evaporation (Li & Garzione, 2017; Quade et al., 2011; Saylor et al., 2009). A similar pattern is also recognized in Miocene–Pliocene carbonates: δ^{18} O values of lacustrine mollusks are evaporatively enriched in ¹⁸O (Saylor et al., 2009). Evidence for evaporative environmental conditions throughout this time agrees with the occurrence of gypsum and mud cracks identified in outcrop (Saylor et al., 2009) and the occurrence of oxidized horizons in carbonaceous mudstones identified with petrography (Figures S3E and S3F).

The decrease in $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ covariance ($r^2 = 0.42$; Figure 11), mean $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values, and increase in mean grain size between interval B and C reflects a decrease in lake size such that the sampling location was occupied by lake-margin depositional environments (Saylor et al., 2016). Saylor et al. (2016) attribute this transition to a drop in base level, which they attributed to a long-term decrease in ISM strength and an increase in arid conditions (Su et al., 2016). Interval C (3.5–2.2 Ma) is characterized by progradation of deltaic depositional environments with intermittent lacustrine carbonate deposition (Saylor et al., 2010b). The decrease in base level occurred synchronously with or slightly after an increase in drought-tolerant flora observed in the pollen record of the Zhada Formation (Wu et al., 2014; Zhu et al., 2007). The presence of salinity-tolerant ostracod species in interval C also points to continued under- or balance-filled conditions and an increase in the evaporative conditions throughout this time (Zhu et al., 2007). Comparison between $\delta^{13}C_{carb}$, $\delta^{18}O_{carb}$, and mean grain size values against lithofacies associations reveals that variations in the records are dependent on depositional environments, but this relationship alone cannot account for the variations that are observed within each environment (Figure 12).





Figure 11. $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ cross-plots and correlation coefficients for intervals A, B, and C from the Zhada stratigraphic section. Correlation coefficients increase in interval B during a period dominated by lacustrine deposition.

4.2. Regional Controls on Long-Term ISM Intensity

The ISM is thought to weaken in response to cooler tropical Indian Ocean temperatures (Terray et al., 2003; Wang et al., 2005). Cane and Molnar (2001) suggested that closure of the Indonesian seaway may have changed the source of flow through the seaway from warm south Pacific water to cold north Pacific water, cooling the Indian Ocean. However, the shift in mean $\delta^{18}O_{carb}$ values at the transition between interval B and C (at 3.4-3.5 Ma) (Figure 13a) predates significant cooling in the Indian Ocean (at ~3.3 Ma) (Figure 13c), suggesting that the closure of the Indonesian seaway may have had less of an influence on weakening of the ISM than previously suggested (Karas et al., 2009; Saylor et al., 2016). This conclusion is tempered by the fact that only a minor revision of either chronology would be needed to synchronize these events. Nevertheless, as currently documented these records suggest that cooling Indian Ocean temperatures and SSTs were not the dominant driver of long-term ISM weakening on the southwestern Tibetan Plateau.

Comparison of the $\delta^{18}O_{carb}$ record from the Zhada Basin to SST records from the Arabian Sea and eastern Pacific Ocean suggests that neither of them is responsible for the abrupt change in Zhada Basin $\delta^{18}O_{carb}$ values at 3.4–3.5 Ma. Despite the cooling trend observed in the SST records from the Arabian Sea (Figure 13g) and from the eastern Pacific Ocean (Figure 13h), mean $\delta^{18}O_{carb}$ values from the Zhada Basin remain constant until the decrease at 3.4–3.5 Ma (Interval C) (Figure 13a). Although the observed trend is incompatible with a linear relationship between SST in the Arabian Sea or eastern Pacific Ocean and the $\delta^{18}O_{carb}$ record in Zhada Basin, it does not preclude a threshold response to changing SSTs.

The major decrease in δ^{18} O _{carb} values in Interval C has been previously attributed to an onset of arid conditions (Kempf et al., 2009; Saylor et al., 2016; Zhu et al., 2007) due to a tectonically driven decrease in elevations and coeval weakening of ISM and strengthening of EAM circulation (Saylor et al., 2016). A decrease in elevation since ~3.7 Ma may have been sufficient enough to allow for a decrease in ISM circulation due to decreased thermal forcing of an elevated landmass (Huntington et al., 2015; Tang et al., 2013). Nonetheless, the presence of 90 and 17 kyr cycles in interval C (Figure 6c) suggests that orbital forcing of insolation-variations continued to play a fundamental role in environmental change on the southern Tibetan Plateau throughout this time.

4.3. Orbital Control on the $\delta^{18}O_{carb}$ Record

Carbon isotopes in lacustrine settings are impacted by multiple factors including lacustrine biological activity and atmospheric $\delta^{13}C$

and are not as directly affected by aridity and evaporation as $\delta^{18}O_{carb}$ (Li et al., 2000). As a result, we found that high-frequency climate cycles are not as well expressed in the carbon isotope record as in the oxygen isotope record (Figure 14). Our discussion below therefore focuses on the oxygen isotope record.

Spectral analysis of interval the $\delta^{18}O_{carb}$ record across the most densely sampled portion of interval A (Figure 6a; ~100 to ~200 m) reveals no orbital periods in the stable isotope record from ~9.0 to ~6.1 Ma. This may reflect either the non-periodic nature of fluvial migration or the low-sampling resolution for this interval. Eccentricity (~100 kyr) and precession (~20 kyr) cycles are recorded in interval B (Figure 6b; 6.0–3.5 Ma; 1 sample/7 kyr) and interval C (Figure 6c; 3.5–2.2 Ma; 1 sample/5.5 kyr), suggesting that



Figure 12. Comparison between $\delta^{13}C_{carb}$, $\delta^{18}O_{carb}$, and mean grain size values against lithofacies associations highlights the variation within each lithofacies association. **(a–c)** Box plots of the $\delta^{13}C_{carb}$ **(a)**, $\delta^{18}O_{carb}$ **(b)**, and mean grain size **(c)** record against lithofacies associations. Box plots illustrate the 25–75% quartiles with the shaded box, the blue line represents the median value, and the minimum (left of blue line) and maximum values (right of blue line) are shown by the short horizontal lines ("whiskers"). The red line represents a linear fit to mean values. **(d)** Mean $\delta^{13}C_{carb}$, $\delta^{18}O_{carb}$, and grain size **(e)** values are plotted against lithofacies associations to further highlight the variability within each lithofacies association. Lithofacies associations are from Saylor et al. (2010b), where profundal (I) and (II) lithofacies associations are defined based on the absence or presence of terrigenous clastic or biologic material, respectively.

orbital cycles are recorded in the Zhada Formation. It is important to note that the Nyquist frequency (half the sampling rate) determines the shortest periodicity that can be resolved in a time-series. However, the sampling rate should be 4–5 times the Nyquist frequency and thus on the order of 1 sample/4 kyr or less to detect a precession cycle (e.g., Luo et al., 2018; Ruddiman, 2001). The Zhada Basin's record for upper interval B satisfies this requirement with a mean sampling resolution of 2.8 kyr.

Spectral analysis of both the untuned and tuned time-series from the upper B interval (4.23–3.55 Ma) yields eccentricity (~100 kyr) and precession (~20 kyr) cycles (Figures 7a and 7b). Comparing the results of our tuned 4.23–3.55 Ma record to our untuned record reveals that tuning did not introduce any artifacts in our data. Tuning interval upper B had no effect on the ~100 kyr cycles. It removed the 53 kyr cycle. However, it shifted and amplified the 19 and 23 kyr precession cycles. Wavelet analysis (Figure 8) of the tuned record confirms the dominance of eccentricity (~100 kyr) and precession cycles throughout 4.23–3.55 Ma. In addition, wavelet analysis (Figure 8) reveals that the eccentricity cycle is much stronger than the precession cycle. We conclude that the ~100 and ~20 kyr cycles are robust, but that the ~50 kyr cycle is likely an artifact.

Variations in the Zhada Basin's $\delta^{18}O_{carb}$ record for the interval upper B (4.23–3.55 Ma; Figure 5) reveal that 20 kyr cycles (indicated by orange bars in Figure 5) are present throughout the time-series but are masked because they are bundled in to 100 kyr cycles (indicated by green bars in Figure 5) which have a greater amplitude than any of the 20 kyr cycles of which they are comprised. We therefore attribute the high spectral power 100 kyr cycles observed in the spectral and wavelet analysis (Figures 6 and 8) to the bundling of 4–5 precession cycles (Huybers, 2011; Huybers & Wunsch, 2005; Liu et al., 2008; Nie et al., 2017). Alternatively, the weaker 20 kyr cycles may be biased due to our age model. Applying linear interpolation to our unevenly spaced data set may have weakened the power of short cycles such as precession (Luo et al., 2018). The Zhada record is interpreted to reflect a clipped response to daily insolation, which can intensify the power of 100 kyr cycles (Nie, 2018). Although 100 kyr cycles dominate our statistical analyses, we refrain from





Figure 13. Comparison of the Zhada Basin's $\delta^{18}O_{carb}$ (a) and mean grain size (b) record to the Indian Ocean deep foraminifera $\delta^{18}O$ values (Karas et al., 2009) (c), Pacific eolian mass accumulation at Ocean Drilling Program Site 885/886 (Rea et al., 1998) (d), the ratio of anhysteretic remnant magnetization susceptibility to saturated isothermal remnant magnetization (X_{ARM}/SIRM), a proxy for EAM strength on the Loess Plateau (Nie et al., 2014) (e), global benthic $\delta^{18}O$ values (Lisiecki & Raymo, 2005) (f), and Sea Surface Temperatures at site 722 in the Arabian Sea (pre 3.25 Ma from Huang et al., 2007, and post 3.25 Ma from Herbert et al., 2010) (g), and from the eastern Pacific Ocean (Lawrence et al., 2006) (h).

assigning the 100 kyr cycle directly to eccentricity because eccentricity has a smaller effect on insolation than precession (Imbrie et al., 1993).

The prominent 100 kyr $\delta^{18}O_{carb}$ cycles throughout 4.23–3.55 Ma differ markedly from the characteristic saw-toothed shape of late Pleistocene glacial cycles (Figure 13f). The Zhada $\delta^{18}O_{carb}$ cycles exhibit sharp increases and decreases that are fairly symmetrical (Figure 5), indicating that different climate dynamics drove these changes. However, an increase in global benthic $\delta^{18}O$ values at ~3.44 Ma (Figure 13f) occurs coeval with the decrease in mean $\delta^{18}O_{carb}$ values from the Zhada Basin (Figure 13a). This relationship may indicate a link between the initiation of glacial–interglacial cycles and environmental change at high-elevations as previously suggested by Saylor et al. (2016).

4.4. Relationship Between ISM and Northern Hemisphere Insolation

Strong coherence between the tuned oxygen isotope record and the record of Northern Hemisphere insolation in the precession band (Figure 9a) suggests that ISM strength is directly driven by Northern Hemisphere insolation. Furthermore, as discussed in section 1.1 above, if greater Northern Hemisphere insolation drives a stronger ISM, higher insolation values should correspond to lower $\delta^{18}O_{carb}$ values in Zhada Basin (i.e., the two records should be anticorrelated). This is confirmed by cross-correlation analysis, which indicates that the $\delta^{18}O_{carb}$ and insolation records are anticorrelated as predicted if insolation directly drives monsoon strength. It also indicates that decreases in $\delta^{18}O_{carb}$ (i.e., strengthening of the ISM) is most strongly correlated with Northern Hemisphere insolation maxima, whereas the ISM is weakest at insolation minima. These observations are consistent with a Northern Hemisphere, rather than Southern Hemisphere driver for ISM strength. Climate models have long attributed monsoon variations on orbital time scales to





Figure 14. Power spectra plots of the $\delta^{13}C_{carb}$ record across intervals A, B, upper B, and C. Red-noise boundaries are estimated as upper 95% (blue) and 99% (red) chi square limits of a fitted AR1 process. Values correspond to cycles per kyr.

changes in low-latitude summer insolation, which is dominated by precession cycles (Battisti et al., 2014; Kutzbach, 1981; Kutzbach et al., 2008; Liu et al., 2014; Merlis et al., 2013; Tiedemann et al., 1994; Xinzhou et al., 2017). Increasing summer insolation decreases atmospheric pressure over central Asia and strengthens summer monsoonal circulation (Mohtadi et al., 2014). The Zhada Basin's $\delta^{18}O_{carb}$ record suggests that changes in daily insolation have been driving changes in the ISM since at least the late Miocene (~6.0 Ma). In contrast to the work of Clemens et al. (1991), we do not find significant power in the obliquity band or coherence with insolation at obliquity frequencies. This may be the result of changing monsoon dynamics prior to (this study) or after Northern Hemisphere Glaciation (Clemens et al., 1991).

4.5. Comparison of ISM and EAM Forcing Mechanisms

The lack of obliquity signal in the Zhada Basin's $\delta^{18}O_{carb}$ record is consistent with our interpretation that high-frequency variation is controlled by direct irradiative heating of the plateau due to changes in daily insolation, which is dominated by 19 and 23 kyr periodicities. However, Miocene–Pleistocene records of the EAM found a direct link between variations in monsoon precipitation and obliquity (41 kyr) forcing (Heitmann et al., 2017; Li et al., 2017). Heitmann et al. (2017) attribute changes in EAM circulation to variations in the cross-equatorial pressure gradient. The lack of obliquity (41 kyr) cycles in the Zhada record suggests that the ISM and EAM respond to different forcing mechanisms.

5. Conclusions

This research provides the first high-resolution, long-term record of environmental change from the late Miocene–Pleistocene Zhada Basin, southwestern Tibetan Plateau. High-resolution isotopic and grain size data, coupled with petrology and sedimentology of the Zhada Formation, are consistent with previously interpreted breaks in the Zhada Formation stratigraphy at ~6.0 and ~3.5 Ma attributable to tectonically driven formation of a hydraulically closed or balance-filled paleo-Lake Zhada and a decrease in ISM strength, respectively. Basin closure is indicated by an increase in mean $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values, an increase in $\delta^{18}O_{carb}$ and $\delta^{13}C_{carb}$ values, an increase in mean-grain size at ~6.0 Ma. An increase in mean-grain size and a decrease in mean $\delta^{18}O_{carb}$ values at 3.5 Ma is attributed to a drop in base level due to a long-term decrease in ISM strength and associated increase in aridity.

High-frequency variations in the $\delta^{18}O_{carb}$ values point to cyclical expansion and contraction of a large paleolake attributable to changes in precipitation/evaporation due to strengthening and weakening of the ISM. Petrographic analysis reveals that the mean $\delta^{18}O_{carb}$ values from each lithofacies association are



consistent with what is expected from each depositional environment. Furthermore, we found no relationship between samples that contain evidence of detrital input and the $\delta^{18}O_{carb}$ or $\delta^{13}C_{carb}$ values.

Frequency analysis of the stable isotope record reveals that the Zhada Basin's $\delta^{18}O_{carb}$ record is likely dominated by ~100 kyr cycles from 6.0 to 2.2 Ma, with ~20 kyr cycles present but at lower power. The appearance of high-frequency cycles predates the onset of Northern Hemisphere glaciation indicating either that glaciation initiated earlier on the high-elevation Tibetan Plateau or that glacial expansion and contraction is not the primary control of ISM strength. Tuning the record from 4.23 to 3.55 Ma enhances ~20 kyr cycles while subduing non-Milankovitch frequencies. Wavelet analysis of the Zhada Basin's tuned record spanning 4.23–3.55 Ma reveals strong 100 kyr eccentricity and 20 kyr precession cycles suggesting that insolation-driven climate change drove high-frequency environmental changes in southern Tibet. The 100 and 20 kyr periodicities observed in the Zhada record are interpreted to represent a likely clipped response to summer insolation forcing, which has been shown to be largely an eccentricity-modulated precession signal. Coherence analysis shows strong coherence between the isotopic record and the summer record of insolation at precession frequencies. The record from Zhada Basin suggests that direct radiative heating of the high-elevation southern Tibetan Plateau has been the dominant control on high-frequency environmental change since the late Miocene. This also implies that the high-elevation Tibetan Plateau provides a link between high-frequency environmental change and insolation.

Data Availability Statement

Supporting figures and all data generated in this study are included in the @Supporting Information files. The oxygen and carbon isotope record and grain size data are available online (https://www.ncdc.noaa. gov/paleo/study/29210).

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